525 AC'd PCT/PTO 0.7 DEC 2000

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 11-98)	ATTORNEY'S DOCKET NUMBER					
TRANSMITTAL LETTER TO THE UNITED STATES	at:					
DESIGNATED/ELECTED OFFICE (DO/EO/US)	HS 400 G					
CONCERNING A FILING UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE	09/646,101					
PCT/EP99/01668 13 March 1999	PRIORITY DATE CLAIMED					
TITLE OF INVENTION	21 March 1998 P E					
UMBRELLA DEVICE APPLICANT(S) FOR DO/EO/US						
SOBEK, Werner et al	DEC 0 7 2000 E					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the follow	ing items and all 3th					
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	ving items and other hormation:					
2. X This is a SECOND or SUBSEQUENT submission of items concerning a filing under 3.	5119 C 271					
1 3. This express request to begin national assertions:						
4. A proper Demand for International Preliminary Examination was made by the 19th mon 5. A copy of the International Application as 51 d (25 M S. C. 2744) and	th from the earliest claimed priority date.					
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is transmitted herewith (required only if not transmitted by the state of the state						
 a. is transmitted herewith (required only if not transmitted by the International Bureau. 	tional Bureau).					
c. is not required, as the application was filed in the United States Receiv	ing Office (BOMIS)					
6. A translation of the International Application into English (35 U.S.C. 371(c)(2))	nig Office (RO/OS).					
7. Amendments to the claims of the International Application under PCT Article I	9 (35 U.S.C. 371(c)(3))					
a. are transmitted herewith (required only if not transmitted by the International	ational Bureau)					
b. have been transmitted by the International Bureau.	monar Barcau).					
c. have not been made; however, the time limit for making such amendments has NOT expired.						
d. have not been made and will not be made.						
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items 11. to 16. below concern document(s) or information included.						
Items 11. to 16. below concern document(s) or information included:						
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A FIRST preliminary amendment.						
A SECOND or SUBSEQUENT preliminary amendment.						
14. A substitute specification.						
15. A change of power of attorney and/or address letter.						
16. X Other items or information:						
- Executed Small Entity Statements						
- Request for Extension of Time						
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FC:215 55.00 OP						
33.00 Ur	İ					
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) "09/046, 101	INTERNATIONAL APPLICATION NO. PCT/EP99/01668		ATTORNEY'S DO	CKET NUMBER	
17. The following fees are submitted:			CALCULATION	S PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a	a) (1) - (5)) :				
Neither international preliminary examin nor international search fee (37 CFR 1.4	nation fee (37 CFR 1.482)				
and International Search Report not prep	pared by the EPO or JPO	\$970.00			
International preliminary examination for USPTO but International Search Report	ee (37 CFR 1.482) not paid to prepared by the EPO or JPO	\$840.00			
International preliminary examination for but international search fee (37 CFR 1.4	te (37 CFR 1.482) not paid to US 45(a)(2)) paid to USPTO	PTO \$760.00	٠.		
International preliminary examination fe but all claims did not satisfy provisions of	e paid to USPTO (37 CFR 1.482 of PCT Article 33(1)-(4)) \$670 . 00			
International preliminary examination fe and all claims satisfied provisions of PC	re paid to USPTO (37 CFR 1.482 T Article 33(1)-(4)) \$96.00			
	PRIATE BASIC FEE AM	IOUNT =	\$		
Surcharge of \$130.00 for furnishing the oath months from the earliest claimed priority da	n or declaration later than 20 te (37 CFR 1.492(e)).	30	\$		
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE			
Total claims - 20 = Independent claims - 3 =		X \$18.00	\$		
MULTIPLE DEPENDENT CLAIM(S) (if appli	ach lo	X \$78.00	\$		
	OF ABOVE CALCULAT	+ \$260.00 TONS =	<u>\$</u>		
Reduction of 1/2 for filing by small entity, it				-[
must also by filed (Note 37 CFR 1.9, 1.27, 1	.28).		\$		
		OTAL =	\$.		
Processing fee of \$130.00 for furnishing the months from the earliest claimed priority date.	English translation later than [te (37 CFR 1.492(f)).	20 30 +	\$		
	TOTAL NATIONA		\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$ 40		
TOTAL FEES ENCLOSED =			\$ 40		
			Amount to be: refunded	\$	
			charged	S	
a. X A check in the amount of \$ 40.00 to cover the above fees is enclosed. (Check No. 15471)					
b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any					
overpayment to Deposit Account No. 10-1213 . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
$A = A \cap A$					
SEND ALL CORRESPONDENCE TO:					
Felix J. D'Ambrosio Date: 7 December 2000 SIGNATURE: SIGNATURE:					
JONES, TULLAR& COOPER, P.C.		/	 ⟨J. D'Ambros	io	
P.O. Box 2266 Eads Station Arlington, VA 22202		NAME			
3 , 11 13202					
		25,72	<u> </u>		
	•		TION NUMBER		



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address: ASSISTANT COMMISSIONER FOR PATENTS Box PCT

	Washingt	on, D.C. 20231	
U.S. APPLICATION NO.	FIRST	AMED APPLICANT	ATTY, DOCKET NO.
09/646101	SOBEK	W	17.00
FELIX J D AMBROSIO		INTE	RNATIONAL APPLICATION NO.
JONES TULLAR & COOPER.			PCT/EP99/01668
PO BOX 2266 EADS STATION		I.A. FILIN	NG DATE PRIORITY DATE
ARLINGTON, VA 22202		13 MA	AR 99 21 MAR 98
<u>.</u>		DATE MAILED:	19 OCT 00
STATE	ISSING REQUIREMENTS UNI S. DESIGNATED/ELECTED O	FFICE (DO/EO/US	5)
1. The following items have been sub		to the United States	Patent and Trademark Office as
a Designated Office (an Elected Office (37			
U.S. Basic National Fee.	CIR 1.493).		
Copy of the international appli	ication in:	*	- 119
a non-English langua			
English.	.		
Translation of the international	al application into English.		
Oath or Declaration of inventor	ors(s) for DO/EO/US.		*
Copy of Article 19 amendmen	nts.		
Translation of Article 19 ame			•
The International Preliminary	Examination Report in English ar	nd its Annexes, if an	y.
	International Preliminary Examin	ation Report into Er	nglish.
Preliminary amendment(s) fil			 '
Information Disclosure States	nent(s) filed	_ and	 •
☐ Assignment document. ☐ Power of Attorney and/or Cha	ange of Address		
Substitute specification filed	•		
Verified Statement Claiming			
Priority Document.			•
Copy of the International Sear	rch Report 🗷 and copies of the re	ferences cited there	in.
Other:		balancia andamia a	
2. The following items MUST be fu acceptance under 35 U.S.C. 371:	on into English. Note a processing		
appropriate 20 or 30 months i	from the priority date. ation is defective for the reason	,	
Translation. b. Processing fee for providir 30 months from the priority of	ng the translation of the application	n and/or the Annexe	s later than the appropriate 20 c
	inventors, in compliance with 37 (CFR 1.497(a) and (b), identifying the application by
	number and international filing dat		.,, -: ,,
The current oath or on the attached PCT.	declaration does not comply with (/DO/EO/917.	37 CFR 1.497(a) and	
	e oath or declaration later than the	e appropriate 20 or 3	30 months from the priority date
3. Additional claim fees of \$ claim fee, are required. Applicant m due. See attached PTO-875.	as a large entity sn nust submit the additional claim fee	nall entity, including es or cancel the addi	any required multiple depende tional claims for which fees are
ALL OF THE ITEMS SET FORTE FROM THE DATE OF THIS NOT THE APPLICATION, WHICHEV ABANDONMENT.	FICE OR BY 🗆 21 OR 🗷 31 M	ONTHS FROM TH	E PRIORITY DATE FOR
The time period set above may be ex CFR 1.136(a).	stended by filing a petition and fee	for extension of tim	ne under the provisions of 37
4. Translation of the Annexes MUS' Note processing fee will be required 5. ☐ The Article 19 amendments ar 494(d)) or 30 (37 CFR 1.495(d)) mo	if submitted later than 30 months e cancelled since a translation was	from the priority da	te.
Applicant is reminded that any commaddress given in the heading and incl			

A copy of this notice MUST be returned with this response.

Enclosed: PCT/DO/EO/917	☐ Notice of Defective Translation	
□ PTO-875	Notice of Defective Translation	John L. Anderson
FORM PCT/DO/EO/905 (December	1997)	Telephone: 703-308-9116